

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/797,715 | 03/08/2004 | Liqun Chen | B-5394 621743-5 |

CONFIRMATION NO. 4135
FORMALITIES LETTER



OC000000012962838

Date Mailed: 06/16/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$978** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1878** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$978

| | | | |
|------------|----------|----------|-----------|
| 08/17/2004 | YPOLITE1 | 00000020 | 10797715 |
| 01 | FC:1001 | | 770.00 OP |
| 02 | FC:1051 | | 130.00 OP |
| 03 | FC:1201 | | 258.00 OP |
| 04 | FC:1202 | | 720.00 OP |

- **\$258** for **3** independent claims over **3**.
- **\$720** for **40** total claims over **20**.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART I - ATTORNEY/APPLICANT COPY

JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Liqun CHEN, et al.

Group Art Unit: unknown

Serial No.: 10/797,715

Examiner: not yet assigned

Filed: March 8, 2004

Our Ref.: B-5394 621743-5

For: "METHOD, SYSTEM AND DEVICE FOR
ENABLING DELEGATION OF AUTHORITY..."

Date of this paper:
August 13, 2004

MAIL STOP MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 06/16/2004 (copy attached).

DECLARATION OR OATH

Please find enclosed the Declaration/Power signed
by the inventors.

AMENDMENT OF CLAIMS

Please cancel claims _____ inclusive
 Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| <input checked="" type="checkbox"/> Filing fee (37 CFR 1.16(a) - 770.00) (small entity - 385.00) | <u>\$770.00</u> |
| <input checked="" type="checkbox"/> Fees for claims <input checked="" type="checkbox"/> 3 Independent claims in excess of 3 (37 CFR 1.16(b) - 86.00) (small entity - 43.00) | <u>\$258.00</u> |
| <input checked="" type="checkbox"/> 40 Claims in excess of 20 (37 CFR 1.16(c) - 18.00) (small entity - 9.00) | <u>\$720.00</u> |

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

MAIL STOP MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: August 13, 2004

Suzanne Johnston
(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

Completion of Filing Requirements (Page 1 of 2)

Multiple dependent claim(s)
(37 CFR 1.16(d) - 290.00)
(small entity - 145.00) _____

Surcharge fees

Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00) _____

AND

Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00) _____

Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00) _____

Recording assignment
(37 CFR 1.21(h)(i) 40.00) _____
 Attached is an assignment to _____

Total fees: \$1,878.00

SMALL ENTITY STATUS

A verified statement that this filing is by a small entity is

Attached Already filed on _____
W/cover sheet

PAYMENT OF FEES

Enclosed is a check in the amount of \$1,878.00.

Charge Account No. 12-0415 in the amount of _____.
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose
the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

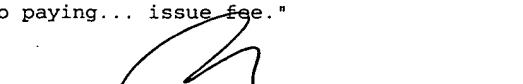
The Commissioner is hereby authorized to charge the following
additional fees which may be required or credit any overpayment to
Account No. 12-0415.

37 CFR 1.16 (filing fees and presentation of extra claims)

37 CFR 1.17 (application processing fees)

37 CFR 1.18 (issue fee at or before mailing of Notice of
Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in
loss of entitlement to small entity status must be filed in the
application... prior to paying... issue fee."


Richard P. Berg
Attorney for the Applicant
Registration No.: 28,145

c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202